

07/09/99
1c5682 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

A

Applicants : Brian Von Herzen, Ph.D.
David C. Keenan, MScSt

Art Unit : _____

Serial No. : _____

Examiner : _____

Filed : July 9, 1999

Title : Illuminated Wearable Ornament

1c525 U.S. PTO
09/351420
07/09/99

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Dear Sir:

Enclosed for filing is a patent application of Brian Von Herzen and David C. Keenan.

1. TYPE OF APPLICATION

The enclosed is for an original, regular application, entitled "Illuminated Wearable Ornament."

2. PAPERS ENCLOSED WHICH ARE REQUIRED FOR FILING DATE UNDER 37

C.F.R. § 1.53(b)

- 19 pages of specification
- 7 pages of claims
- 1 page of abstract
- 13 sheets of formal drawings

Certification Under 37 C.F.R. § 1.10

I hereby certify that this paper is being deposited
this 9th day of July, 1999 in an
envelope as "Express Mail Post Office to Addressee,"
label number E040237725US addressed to
Assistant Commissioner of Patents and Trademarks, Box Patent
Application, Washington, D.C. 20231." By: R. Sted

3. ADDITIONAL PAPERS ENCLOSED

Enclosed also in support of this application are:

- (1) Combined Declaration and Power of Attorney
- (2) Assignment
- (3) Assignment Transmittal
- (4) Information Disclosure Statement with Forms PTO-1449 and references
- (5) Small Entity Declaration

09351420-070999

4. FEE CALCULATION (37 C.F.R. § 1.16)

This is a small entity patent application:

Basic Fee:	\$ 380.00
48 Total Claims - 20 = 28 extra x \$9.00 =	252.00
5 Independent Claims -3 =2 extra x \$39.00 =	<u>78.00</u>
Total Fees:	\$ 710.00

A check in that amount is enclosed.

Respectfully submitted,

BRIAN VON HERZEN
DAVID C. KEENAN
by their attorney



Dated: July 9, 1999

Louis J. Hoffman
Reg. No. 38,918

LOUIS J. HOFFMAN, P.C.
14614 North Kierland Boulevard
Suite 300
Scottsdale, Arizona 85254
(480) 948-3295